TFW 2823

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE ne Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/052,234 Filing Date TRANSMITTAL January 16, 2002 First Named Inventor **FORM** Duc Chau et al. Art Unit 2823 Examiner Name J. Garcia (to be used for all correspondence after initial filing) Attorney Docket Number 11948.3 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC  $| \checkmark |$ Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) \_ Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Kirton & McConkie Signature Printed name Kenneth E. Horton Date Reg. No. 39,481 September 16, 2005 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date September 16, 2005 Erin Cowles Typed or printed name

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application: Duc Chau et al.

Serial No.: 10/052,234

Filed: January 16, 2002

For: SELF-ALIGNED TRENCH MOSFETS AND METHODS FOR MAKING THE SAME

Confirmation No. 9589

Group Art Unit: 2823

Examiner: J. Garcia

Mail Stop Non-Fee Response Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## AMENDMENT AND REQUEST FOR RECONSIDERATION UNDER 37 C.F.R. §§ 1.111 & 1.115

In further response to the Office Action dated April 20, 2005, and in response to the Notice of Non-Compliant Amendment dated September 6, 2005, Applicant requests reconsideration of this application in light of the following amendments and remarks.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria,

VA 22313-1450, on this 10 th Day of September, 2005.

9/16/2005

Signed: